**Croatia**

National specificities

| Function for which the questionnaire is submitted | |
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| English | Language of the Member State |
| ☐ chair of the management board | ☐ predsjednik uprave |
| ☐ member of the management board | ☐ član uprave |
| ☐ member of the supervisory board | ☐ član nadzornog odbora |
| ☐ independent member of the supervisory board | ☐ neovisni član nadzornog odbora |

| Section of the questionnaire the national specificity relates to | National specificity | Answer |
| --- | --- | --- |
| 1. Identification of the supervised entity and appointee | OIB personal identification number of the supervised entity |  |
|  | Explanation on the reason(s) of appointee selection |  |
|  | OIB personal identification number of the appointee |  |
|  | Father(s) and mother(s) first name and family name |  |
|  | Information about the Croatian language proficiency level |  |
|  | Does the appointee meet the criteria for management/supervisory board members under the provisions of the Croatian Companies Act? | ☐ Yes  ☐ No |
| 3. Experience | Please describe your scope of competences, decision-making powers, main responsibilities and knowledge acquired (for the positions held or functions performed in the last ten years) |  |
|  | Specify the organisational structure of the employer at the time of performing the function or holding the position |  |
|  | If you are intending to nominate an appointee that does not have the length of work experience required provide detailed explanation of the reasons for the appointment and list below any potential complementary (or compensating) factors such as specialist knowledge or acquired experience, required by the credit institution to which the appointee is being appointed, an exceptionally successful professional career or specific required knowledge suited for the special role to be fulfilled or areas of competence to be covered by the appointee |  |
| 4. Reputation | Financial and business results - please indicate the information on the financial and business results of the undertakings in which you are or have been a significant shareholder, or in which you have or have had significant holdings, or in which you have performed the function of a member of the management board or other managerial function, including the information on whether the competent authority has established that the undertaking has committed a major irregularity or breach in its operation, whether pre-bankruptcy settlement proceedings have been carried out against the undertaking, whether extraordinary administration proceedings have been initiated against the undertaking, whether bankruptcy proceedings have been initiated against the undertaking, whether a decision on the compulsory winding-up has been rendered or the undertaking's authorisation has been revoked, whether it was subject of recovery, restructuring, resolution or bailout or whether measures have been imposed on the undertaking to improve its condition and eliminate illegalities and irregularities in its operation |  |
|  | Please describe your financial position, providing information on your assets, income and financial obligations |  |
| 5. Conflict of interest | Provide detailed information on all your existing financial obligations (e.g. loans etc.) towards credit institution you are being appointed (including the parent company and any subsidiaries of that credit institution and holder of a qualifying holding in credit institution)  Information should include the following: name of the debtor, name of the entity, nature of obligation(s) (e.g. mortgage, personal loan, credit line), amount of the obligation(s), guarantee (if any), status of the obligation(s), conditions of the obligation(s) (market or special conditions), start date of the obligation(s), value of the obligation expressed as a percentage of the total loans of the debtor, value of the obligation expressed as a percentage of the total eligible capital of the supervised entity |  |
|  | Please indicate if you have been appointed by the significant shareholder | ☐ Yes  ☐ No |
|  | Do you have any relationships with politically exposed persons in the past two years? | ☐ Yes  ☐ No  If YES, please provide details below: |
| 6. Time commitment | Indicate if you have sufficient time available to perform the function you are being appointed to and responsibilities it covers and provide details |  |
|  | Are you employed full time to perform the function you are being appointed to? | ☐ Yes  ☐ No |

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| List of mandatory annexes |
| Decision on the appointment of the chairperson or a member of the management board or supervisory board conditioned by prior approval of the Croatian National Bank, or, if the Articles of Association provide that an individual shareholder appoints a certain number of members of supervisory board, the decision of that shareholder on the appointment of the member of the supervisory board, conditioned by prior approval of the Croatian National Bank |
| The minutes of the supervisory board meeting at which the decision on the appointment of the chairperson or a member of the management board has been adopted, the minutes of the general meeting at which the decision on the appointment of a supervisory board member has been adopted or draft minutes, draft if the final version of the minutes is not available, and proposal of supervisory board members on the appointment of the candidate as the chairperson of the supervisory board, where applicable |
| Copy of ID card/passport (for foreign nationals with a certified translation into Croatian language) |
| Copy of the diploma or equivalent certificate certified by the educational organisation where it was obtained (diploma or certificate obtained abroad with a certified translation into Croatian language) |
| Certificate issued by the legal person or entity (employer) in which the appointee has acquired the experience referred to in Article 7 and Article 9 of the Decision, specifying the type of function and its position in the hierarchy, the knowledge that the appointee acquired in that function, the nature and complexity of the appointee's activities, including the organisational structure in which these activities were performed, the scope of his/her competences, decision-making powers and responsibilities and, where appropriate, the number of subordinates (only for appointees who, at the time of application, do not perform the function of the chairperson or a member of the management board or supervisory board of a credit institution in the Republic of Croatia) |
| Statement by the appointee set out in Appendix to the Decision |
| Statement issued by the credit institution on the measures planned or taken for mitigating or eliminating conflict of interest referred to in Article 8, paragraph (11) of the Decision if a conflict of interest which can be managed has been established to exist |
| Work programme referred to in Article 14 of the Decision, signed by the appointee and stipulating the date of its creation, with the decision of the supervisory board providing approval to the candidate's work programme in accordance with Article 48 of the Credit Institutions Act (applicable for the appointee appointed as chairperson or member of the management board) |
| Excerpt from criminal history records and misdemeanour records:  a) for the nationals of the Republic of Croatia, the statement issued by a municipal court on whether criminal offence proceedings have been initiated against the appointee, not older than one month from the date when the application for granting prior approval was submitted; and  b) for foreign nationals and nationals of the Republic of Croatia who lived abroad in last five years, information showing that the appointee has not been convicted of a misdemeanour or has not been subject to administrative sanctions, whether criminal offence or misdemeanour proceedings or proceedings for imposing administrative sanctions have been initiated against the appointee and whether the appointee has been convicted by a judgement with final force and effect of a criminal offence, not older than one month, from the date when the application for granting prior approval was submitted and where this is not possible, a statement to that effect, with the appointee's signature certified by a notary public, not older than one month from the day when the application for granting prior approval was submitted (information or statement should cover all those jurisdictions in which appointee lived in last five years) |
| List of short-listed appointees or an explanation why it has not been submitted |
| CV for the appointee |

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| Footnotes | |
| Footnote 1 | <https://www.hnb.hr/en/-/suglasnost-za-obavljanje-funkcije-predsjednika-ili-clana-uprave-kreditne-institucije> |
| Footnote 6 | According to the article 3. paragraph 1. point 29 (c) of Credit Institutions Act (Official Gazette 159/2013, 19/2015, 102/2015, 15/2018, 70/2019, 47/2020 and 146/2020) |
| Footnote 10 | According to Article 45 paragraphs 3 and 4 of Credit Institutions Act |
| Footnote 12 | According to Article 39 paragraph 12 and Article 46 paragraph 12 of Credit Institutions Act |
| Footnote 44 | According to Article 25 paragraph 4 of Decision on assessment of the suitability of the chairperson of the management board, members of the management board, members of the supervisory board and key function holders in a credit institution |